

US Shares Transfer Form

Please complete this form to transfer your existing US holdings from another broker to your Superhero account.

Once completed and signed, please return this form to hello@superhero.com.au

In order for your stock transfer to be actioned as quickly as possible, please make sure to read the below instructions.

Instructions:

- Check with your existing custodian regarding the rules of transferring your US securities out of your existing custodian.
- Please include a copy of your holding statement with your current broker
- If your shares are held with a share registry, please include a copy of the DRS statement
- NO DIGITAL SIGNATURES
- Attach a copy of a government issued photo ID (driver's license or passport). It must show your signature
- No liquid paper
- Crossing out of mistakes will not be accepted
- Signature on your ID must match the signature on the form

Mandatory fields:

- Current broker name
- Current broker account name
- Current broker account number
- Current broker email address and phone number
- Superhero account name
- Superhero account number
- Email Address
- Security code, name, exchange, ISIN and quantity (number of shares held).
- Date
- Signature and Name

US Shares Transfer Form

Apex Clearing Corporation LLC
Registered with the SEC
Member of FINRA and SIPC

Superhero Nominees Pty Ltd
ABN: 68 642 021 823
Auth Rep: 1282849
AFSL: 430150

Please complete this form to transfer your existing holdings from another broker to your Superhero account. Once completed and signed, please return this form to hello@superhero.com.au For any assistance please contact Superhero Client Services at hello@superhero.com.au

1. Details of the account the US Stocks are coming from

Account Name (as at other broker)		Broker the Stocks are held with
<input type="text"/>		<input type="text"/>
Account Number (as at other broker)	Broker email address	Broker phone number
<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Details of the Superhero account the US Stocks are moving to

Account Name (as at Superhero)	
<input type="text"/>	
Superhero Account Number	Client email address (as at Superhero)
<input type="text"/>	<input type="text"/>

3. US Stocks to be transferred

SECURITY CODE	SECURITY NAME (eg. Apple Inc.)	EXCHANGE (eg. NASDAQ)	ISIN (eg. US0378331005)	QTY (No. of shares)	*COST PRICE (per share)

* Cost price is optional

** Please attach a separate sheet if additional securities are required to be transferred

I/We give authority to transfer the above listed holdings into my Superhero account with APEX Clearing Corporation. I/We hereby certify that the transfer of stock does not involve in a change of beneficial ownership.

Signature of Client/Security Holders requesting transfer (all security holders must sign)

Account Holder 1 / Director 1 / Trustee 1	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 2 / Director 2 or Company Secretary / Trustee 2	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 3 / Director 3 or Company Secretary / Trustee 3	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>